

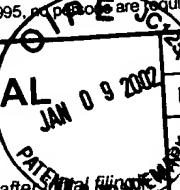
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COPY OF PAGE
ORIGINALLY FILED**TRANSMITTAL
FORM**

(to be used for all correspondence after final filing)

Total Number of Pages in This Submission



Application Number	09/891,138
Filing Date	June 25, 2001
First Named Inventor	Lin, Daniel Chi-Hong
Group Art Unit	1646
Examiner Name	Not yet assigned
Attorney Docket Number	018781-006210US

ENCLOSURES (check all that apply)

Fee Transmittal Form
 Fee Attached
 Amendment / Response - "Communication Under 37 CFR 1.821-1.825 and Preliminary Amendment"
 After Final
 Affidavits/declaration(s)
 Extension of Time Request
 Express Abandonment Request
 Information Disclosure Statement
 Certified Copy of Priority Document(s)
 Response to Missing Parts/ Incomplete Application
 Response to Missing Parts under 37 CFR 1.52 or 1.53

Assignment Papers (for an Application)
 Drawing(s)
 Licensing-related Papers
 Petition Routing Slip (PTO/SB/69) and Accompanying Petition
 Petition to Convert to a Provisional Application
 Power of Attorney, Revocation Change of Correspondence Address
 Terminal Disclaimer
 Request for Refund
 CD, Number of CD(s)

After Allowance Communication to Group
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Other Enclosure(s) (please identify below):
(1)-Executed Declaration w/ADS
(2)-3.73(b) Statement w/Assignment copy
(3)-Sequence Listing paper copy pgs 1-16
(4)-Sequence Listing diskette
(5)-Formalities Letter, Part 2
(6)-Return Postcard

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name

Townsend and Townsend and Crew LLP

Jean M. Lockyer, Ph.D.

Reg. No. 44,879

Signature

October 30, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

October 30, 2001

Typed or printed name

Malinda C. Dagit

Signature

Date

30 Oct. 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 2422

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	20-1430
Deposit Account Name	Townsend and Townsend and Crew LLP
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	

2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$740)

2. EXTRA CLAIM FEES

Total Claims	70	-20**	=	50	X	\$18	=	\$900
Independent Claims	11	-3**	=	8	X	\$84	=	\$672
Multiple Dependent			X				=	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$1572)

** or number previously paid, if greater; For Reissues, see above

RECEIVED JAN 28 2002

Complete if Known	
Application Number	09/891,138
Filing Date	June 25, 2001
Firm Named Inventor	Lin, Daniel Chi-Hong
Examiner Name	Not yet assigned
Group Art Unit	1646
Attorney Docket No.	018781-006210US

Technology Center 2100

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or claim	JAN 28 2002
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	018781-006210US
139	130	139	130	Non-English specification	018781-006210US
147	2,520	147	2,520	For filing a request for reexamination	018781-006210US
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	018781-006210US
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	018781-006210US
115	110	215	55	Extension for reply within first month	018781-006210US
116	400	216	200	Extension for reply within second month	018781-006210US
117	920	217	460	Extension for reply within third month	018781-006210US
118	1,440	218	720	Extension for reply within fourth month	018781-006210US
128	1,960	228	980	Extension for reply within fifth month	018781-006210US
119	320	219	160	Notice of Appeal	018781-006210US
120	320	220	160	Filing a brief in support of an appeal	018781-006210US
121	280	221	140	Request for oral hearing	018781-006210US
138	1,510	138	1,510	Petition to institute a public use proceeding	018781-006210US
140	110	240	55	Petition to revive – unavoidable	018781-006210US
141	1,280	241	640	Petition to revive – unintentional	018781-006210US
142	1,280	242	640	Utility issue fee (or reissue)	018781-006210US
143	460	243	230	Design issue fee	018781-006210US
144	620	244	310	Plant issue fee	018781-006210US
122	130	122	130	Petitions to the Commissioner	018781-006210US
123	50	123	50	Petitions related to provisional applications	018781-006210US
126	180	126	180	Submission of Information Disclosure Stmt	018781-006210US
581	40	581	40	Recording each patent assignment per property (times number of properties)	018781-006210US
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	018781-006210US
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	018781-006210US
179	740	279	370	Request for Continued Examination (RCE)	018781-006210US
169	900	169	900	Request for expedited examination of a design application	018781-006210US
Other fee (specify)					

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$110)

SUBMITTED BY

Name (Print/Type)	Jean M. Lockyer, Ph.D.	Registration No. (Attorney/Agent)	44,879	Telephone	415-576-0200	110.00	
Signature						Date	October 30, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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018781-006210US
JAN 28 2002
110.00



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/891,138	06/25/2001	Daniel Chi-Hong Lin	018781-006210US

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 8826
FORMALITIES LETTER

OC000000006489160

#3

Date Mailed: 08/29/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

./16/2002 SMINASS1 00000001 201430 09891138

FILED UNDER 37 CFR 1.53(b)

FC:101	740.00 CH
FC:102	672.00 CH
FC:103	900.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$923.
 - \$468 for 52 total claims over 20.
 - \$320 for 8 independent claims over 3 .
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1343.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the

sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

*A copy of this notice **MUST** be returned with the reply.*


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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE